

# FUNCTIONAL OUTPUT-CONTROLLABILITY ANALYSIS OF FIXED SPEED WIND TURBINE

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## Abstract

This paper deals with the concepts of functional output-controllability character of a finite-dimensional linear dynamical system. And a method for computing the functional outputcontrollability consisting on the calculation of the rank of a certain constant matrix related to the system dynamics is introduced. The linear system under study is a fixed speed wind turbine (FSWT) formed by a squirrel cage generator connected directly to the grid. Due to the non-linear behaviour of such system, the linear system model is calculated by means of a Taylor's decomposition of the non-linear equations of the squirrel cage induction generator, being the system linearized around a steady state operating point. Finally, the study of the functional output-controllability of such system is done, and some boundaries are given to ensure functional output-controllability from some given operational values.

## Key words

Functional Output-Controllability, Squirrel Cage Induction Generator, Linear System, Boundaries.

## 1 Introduction

In the control theory of continuous linear time-invariant dynamical systems the most frequently used mathematical model is given by the following system consisting of a differential state equation and an algebraic output equation

$$\left. \begin{aligned} \dot{x}(t) &= Ax(t) + Bu(t) \\ y(t) &= Cx(t) + Du(t) \end{aligned} \right\} \quad (1)$$

where  $x$  is the state vector,  $y$  is the output vector,  $u$  is the input (or control) vector,  $A \in M_n(\mathbb{R})$  is the state matrix,  $B \in M_{n \times m}(\mathbb{R})$  is the input matrix,  $C \in M_{p \times n}(\mathbb{R})$  is the output matrix, and  $D \in M_{p \times m}(\mathbb{R})$  is the feedthrough (or feedforward) matrix.

For simplicity we will write the systems as a quadruples of matrices  $(A, B, C, D)$ .

For its analysis and solution, this system is usually described by the transfer function obtained by applying Laplace transformation to equation (1). It is obtained in the following form

$$\left. \begin{aligned} s\dot{X} &= AX + BU \\ Y &= CX + DU \end{aligned} \right\}$$

$$C(sI - A)^{-1}B + D. \quad (2)$$

A related problem to the control of the system is whether it is possible to steer the output following a previously assigned curve over any interval of time. The answer to this problem is given analyzing the functional output-controllability.

On the other hand, the recent increasing of wind power in the electrical network, makes interesting the study and ensure the functional output-controllability of Fixed-Speed Wind Turbines (FSWT), which can affect directly the behavior of power systems.

## 2 Functional output-controllability

**Definition 2.1.** A system is functional output-controllable if and only if its output can be steered along the arbitrary given curve over any interval of time. It means that if it is given any output  $y_d(t)$ ,  $t \geq 0$ , there exists  $t_1$  and a control  $u_t$ ,  $t \geq 0$ , such that for any  $t \geq t_1$ ,  $y(t) = y_d(t)$ .

**Proposition 2.1 ([Chen, 1970]).** A system is functional output-controllable if and only

$$\text{rank } C(sI - A)^{-1}B + D = p$$

in the field of rational functions

A necessary and sufficient condition for functional output-controllability is

**Proposition 2.2** ([Chen, 1970]).

$$\text{rank} \begin{pmatrix} sI - A & B \\ C & D \end{pmatrix} = n + p,$$

For systems in which the matrix  $D$  is the zero matrix in [M. García-Planas, Domínguez-García, 2013], a simple test to compute the functional output-controllability is obtained. In this section, a generalization of this test is presented.

For a linear continuous-time system, like (1), described by matrices  $A$ ,  $B$ ,  $C$  and  $D$ , the functional output-controllability matrix can be defined as.

**Definition 2.2.**

$$oC_f(A, B, C, D) = \begin{pmatrix} C & D & & & \\ CA & CB & D & & \\ CA^2 & CAB & CB & D & \\ \vdots & & \ddots & \ddots & \\ CA^n & CA^{n-1}B & \dots & CAB & CB & D \end{pmatrix}.$$

and the following result is obtained.

**Theorem 2.1.** *The system  $(A, B, C, D)$  is functional output-controllable if and only if*

$$\text{rank } oC_f(A, B, C, D) = (n + 1)p.$$

The null terms are not written in the matrix.

In order to prove this theorem an equivalence relation preserving the functional output-controllability is defined that permit to consider an equivalent simple reduced form for the system

**Definition 2.3.** *Two systems  $(A, B, C, D)$  and  $(A_1, B_1, C_1, D_1)$  are equivalent if and only there exist matrices  $P, \in Gl(n; \mathbb{R})$ ,  $R, \in Gl(m; \mathbb{R})$ ,  $S, \in Gl(p; \mathbb{R})$ ,  $V \in M_{m \times n}(\mathbb{R})$  and  $W \in M_{n \times p}(\mathbb{R})$  such that*

$$\begin{pmatrix} A_1 & B_1 \\ C_1 & D_1 \end{pmatrix} = \begin{pmatrix} P^{-1} & W \\ 0 & S \end{pmatrix} \begin{pmatrix} A & B \\ C & D \end{pmatrix} \begin{pmatrix} P & 0 \\ V & R \end{pmatrix}.$$

This equivalence relation coincides with the strict equivalence relation defined over pencils in the form  $H(\lambda) = \begin{pmatrix} \lambda I - A & B \\ C & D \end{pmatrix}$ . So the Kronecker canonical reduced form can be considered [García-Planas, Margret, 1999].

**Proposition 2.3.** *The functional output-controllability character is invariant under equivalence relation.*

**Proof.**

$$\begin{pmatrix} sI - A_1 & B_1 \\ C_1 & D_1 \end{pmatrix} = \begin{pmatrix} sI - A & B \\ P^{-1} & -W \\ 0 & S \end{pmatrix} \begin{pmatrix} sI - A & B \\ C & D \end{pmatrix} \begin{pmatrix} P & 0 \\ -V & R \end{pmatrix}$$

**Proof of the Theorem.** It suffices to consider the Kronecker reduced form of the pencil associated to the system.

**Corollary 2.1.** *The system  $(A, B, C, D)$  is functional output-controllable if and only if*

$$\begin{aligned} \text{rank} \begin{pmatrix} C & D \\ CA & CB & D \end{pmatrix} &= p \\ \text{rank} \begin{pmatrix} C & D \\ CA & CB & D \end{pmatrix} &= 2p \\ \vdots & \\ \text{rank} \begin{pmatrix} C & D & D & D \\ CA & CB & CB & D \\ CA^2 & CAB & CB & D \\ \vdots & \ddots & \ddots & \\ CA^i & CA^{i-1}B & \dots & CAB & CB & D \end{pmatrix} &= (i + 1)p \\ \vdots & \end{aligned}$$

Analogously to the case of triples of matrices  $(A, B, C)$  [M. García-Planas, Domínguez-García, 2013], this corollary provides an iterative method to compute functional output-controllability. Calling  $oC_f$  the matrices in the corollary, it is shown an example of a flowchart in Figure 1.

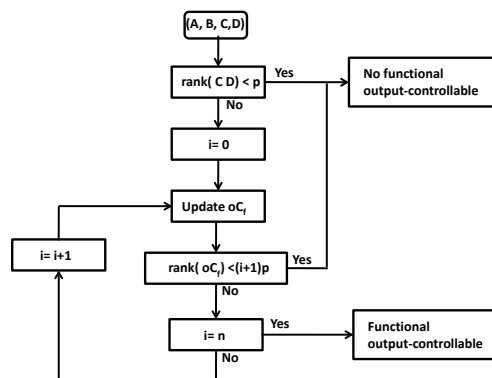


Figure 1. Flowchart showing the required iteration for functional output-controllability computation

### 3 A lower bound on the distance to a non functional output-controllable system

The goal is to obtain a bound for the value of the radius of a ball which is neighborhood of a functional output-controllable element, containing only elements which are also functional output-controllable.



*Proof.* The functional output controllability of  $(A, B, C, D)$  implies that  $\text{rank } M(A, B, C, D) = n^2 + (n+1)p$  and that if  $(A + \delta A, B + \delta B, C + \delta C, D + \delta D)$  is not functional output controllable,  $\text{rank } M(A + \delta A, B + \delta B, C + \delta C, D + \delta D) \leq n^2 + (n+1)p$ .

The Eckart-Young and Minkowski theorem states that the smallest perturbation in the Frobenius norm that reduces the rank of a matrix  $A$  with  $\text{rank } A = r$  from  $r$  to  $r - 1$  is  $\sigma_r(A)$ , the smallest non-zero singular value of  $A$ .

Noting that

$$M(A + \delta A, B + \delta B, C + \delta C, D + \delta D) = M(A, B, C, D) + M(\delta A, \delta B, \delta C, \delta D)$$

where

$$M(\delta A, \delta B, \delta C, \delta D) = \begin{pmatrix} \delta A & \delta B & 0 & 0 & 0 & 0 & \dots & 0 & 0 \\ \delta C & \delta D & 0 & 0 & 0 & 0 & & & \\ 0 & 0 & \delta A & \delta B & 0 & 0 & & & \\ 0 & 0 & \delta C & \delta D & 0 & 0 & & & \\ \vdots & & & & & & & & \\ & & \dots & \delta A & \delta B & 0 & 0 & & \\ & & \dots & \delta C & \delta D & 0 & 0 & & \\ & & \dots & 0 & 0 & \delta C & \delta D & & \end{pmatrix}$$

and it is easy to prove that, for all quadruple  $(A, B, C, D)$ ,

$$\|M(\delta A, \delta B, \delta C, \delta D)\| \leq \sqrt{n+1} \|(\delta A, \delta B, \delta C, \delta D)\|.$$

It suffices to compute.

$$\|M(\delta A, \delta B, \delta C, \delta D)\|^2 = n(\|\delta A\|^2 + \|\delta B\|^2) + (n+1)(\|\delta C\|^2 + \|\delta D\|^2) \leq (n+1)\|(\delta A, \delta B, \delta C, \delta D)\|^2.$$

Therefore, the norm of the perturbation of the matrix  $M(\delta A, \delta B, \delta C, \delta D)$  must be at least  $\sigma_{n^2+(n+1)}(M(A, B, C, D))$ ,

Hence, a bound for the distance from  $(A, B, C, D)$  to the nearest non-functional output-controllable quadruple, taking into account above proposition is

$$\begin{aligned} \|(\delta A, \delta B, \delta C, \delta D)\| &\geq \\ \frac{1}{\sqrt{n+1}} \|M(\delta A, \delta B, \delta C, \delta D)\| &\geq \\ \frac{1}{\sqrt{n+1}} \sigma_{n^2+(n+1)p}(M(A, B, C, D)). & \end{aligned}$$

#### 4 Modeling of FSWT

The global analyzed system is a wind power generator connected directly to the grid.

The linear system is defined by means of the squirrel cage induction generator differential equations and a first order mechanical system. The differential equations considered within this system are time dependant [Domínguez-García, M. García-Planas, 2011] [Ugalde-Loo, Ekanayake, Jenkins]. Its inputs are the voltage of the grid. Supposing the system to be in steady state, the system can be described as:

$$\begin{aligned} \dot{X} &= A_{FSWT}X + B_{FSWT}U \\ Y &= C_{ci}X + D_{ci}U \end{aligned} \quad (3)$$

$$A_{FSWT} = \begin{pmatrix} \alpha_{11} & \alpha_{12} & \alpha_{13} & \alpha_{14} & \alpha_{15} \\ -\alpha_{12} & \alpha_{11} & -\alpha_{14} & \alpha_{13} & \alpha_{25} \\ \alpha_{31} & \alpha_{32} & \alpha_{33} & \alpha_{34} & \alpha_{35} \\ -\alpha_{32} & \alpha_{31} & -\alpha_{34} & \alpha_{33} & \alpha_{45} \\ K_\omega \bar{i}_{qr0} & -K_\omega \bar{i}_{dr0} & -K_\omega \bar{i}_{qs0} & K_\omega \bar{i}_{ds0} & 0 \end{pmatrix}$$

$$\alpha_{11} = -\bar{R}_T \bar{X}_{rr}, \alpha_{12} = \alpha_{10} \bar{\omega}_s + \bar{X}_T \bar{X}_{rr}, \alpha_{13} = -\bar{R}_r \bar{X}_m, \alpha_{14} = -\beta_{r0} \bar{\omega}_s, \alpha_{31} = \bar{R}_T \bar{X}_m, \alpha_{32} = -\beta_{s0} \bar{\omega}_s - \bar{X}_T \bar{X}_m, \alpha_{33} = -\bar{R}_r \bar{X}_{ss}, \alpha_{34} = -\alpha_{20} \bar{\omega}_s.$$

$$B_{FSWT} = \begin{pmatrix} \gamma \bar{X}_{rr} & 0 & 0 \\ 0 & \gamma \bar{X}_{rr} & 0 \\ \gamma \bar{X}_m & 0 & 0 \\ 0 & \gamma \bar{X}_m & 0 \\ 0 & 0 & \frac{1}{2H} \end{pmatrix}$$

$$\gamma = \frac{-\omega_b}{\bar{X}_{ss} \bar{X}_{rr} \sigma}.$$

Where,

$$K_G = \omega_b (\bar{X}_{ss} \bar{X}_{rr} \sigma)^{-1},$$

$$K_\omega = \bar{X}_m (2H K_G)^{-1} = -\gamma,$$

$$\bar{R}_T = \bar{R}_s + \bar{R}_E,$$

$$\bar{X}_T = \bar{X}_E + \bar{X}_{tr},$$

$$\bar{X}_{ss} = \bar{X}_{ls} + \bar{X}_m,$$

$$\bar{X}_{rr} = \bar{X}_{lr} + \bar{X}_m,$$

$$\sigma = \frac{1 - \bar{X}_m^2}{\bar{X}_{rr} \bar{X}_{ss}}$$

$$\alpha_{10} = \bar{X}_{ss} \bar{X}_{rr} - s_0 \bar{X}_m^2,$$

$$\beta_{s0} = \bar{X}_m \bar{X}_{ss} (1 - s_0),$$

$$\beta_{r0} = \bar{X}_m \bar{X}_{rr} (1 - s_0),$$

$$\alpha_{20} = \bar{X}_m^2 - s_0 \bar{X}_{ss} \bar{X}_{rr},$$

$$a_{15} = \bar{X}_m (\bar{X}_m \bar{i}_{qs0} - \bar{X}_{rr} \bar{i}_{qr0}),$$

$$a_{35} = \bar{X}_{ss} (\bar{X}_m \bar{i}_{qs0} - \bar{X}_{rr} \bar{i}_{qr0}),$$

$$a_{45} = \bar{X}_{ss} (-\bar{X}_m \bar{i}_{ds0} + \bar{X}_{rr} \bar{i}_{dr0}),$$

$$a_{25} = \bar{X}_m (-\bar{X}_m \bar{i}_{ds0} - \bar{X}_{rr} \bar{i}_{dr0}).$$

Subindex 0 in some terms of the matrix  $A_{FSWT}$  indicates evaluation at the initial condition. Observe that the terms  $a_{i5}$  appear because the slip  $s$  is a function of  $\bar{\omega}_r$ . The effect of the transmission line has been considered through the relations  $\bar{v}_{ds} = \bar{v}_{d\infty} - \bar{X}_T \bar{i}_{qs} + \bar{R}_T \bar{i}_{ds}$  and  $\bar{v}_{q\infty} + \bar{X}_T \bar{i}_{ds} + \bar{R}_T \bar{i}_{qs}$ .

#### 4.1 Output variables selection for C and D matrices definition

The active and reactive power delivered by the induction generator, and also the stator currents of the wind turbine have been chosen for such analysis.

**4.1.1 Active and reactive power selection** The output system described as  $Y = C_{c1}X + D_{c1}U$  can be written as follows:

$$\begin{pmatrix} \Delta Q_{ss} \\ \Delta P_{ss} \end{pmatrix} = \underbrace{\begin{pmatrix} v_{sd0} & -v_{sq0} & 0 & 0 & 0 \\ v_{sq0} & v_{sd0} & 0 & 0 & 0 \end{pmatrix}}_{C_{c1}} \begin{pmatrix} i_{sq} \\ i_{sd} \\ i_{rq} \\ i_{rd} \\ \omega_r \end{pmatrix} + \underbrace{\begin{pmatrix} -i_{sd0} & i_{sq0} & 0 \\ i_{sq0} & i_{sd0} & 0 \end{pmatrix}}_{D_{c1}} \begin{pmatrix} v_{sq} \\ v_{sd} \\ T_m \end{pmatrix} \quad (4)$$

where the subscripts 0 (as previously stated) represents the operating point selected to linearize.

**4.1.2 Stator currents of the FSWT** In this case, the state variables have been selected as outputs variables to be analyzed. Then,

$$C_{c2} = \begin{pmatrix} 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \end{pmatrix} \quad (5)$$

and  $D_{c2} = 0_{2 \times 3}$

### 5 Functional Output-controllability of FSWT

In the following subsections it is studied functional output-controllability of FSWT.

Applying the theorem 2.1 in the linearized system, it can be computed rank  $oC_f(A, B, C, D)$ .

#### 5.1 Case FSWT with active and reactive power as measured outputs

Taking into account that  $i_{sd0}$  and  $i_{sq0}$  can be not zero simultaneously, the matrix

$$D = \begin{pmatrix} -i_{sd0} & i_{sq0} & 0 \\ i_{sq0} & i_{sd0} & 0 \end{pmatrix}$$

has full row rank, then applying the test 2.1 it can be concluded that the system is functional output-controllable.

Notice that if the matrix  $D$  has full row rank the test finish in the first iteration, since the ranks of the iterative matrices differs exactly the rank of the matrix  $D$ .

Taking particular values it is possible to compute a bound ensuring functional output-controllability.

#### 5.2 Case FSWT with stator currents of the induction generator as measured outputs

In this case the matrix  $D$  is the zero matrix, but matrix  $C$  has full row rank, and taking into account that  $\gamma \neq 0$  and  $\bar{X}_{rr} \neq 0$ , the matrix

$$CB = \begin{pmatrix} \gamma \bar{X}_{rr} & 0 & 0 \\ 0 & \gamma \bar{X}_{rr} & 0 \end{pmatrix}$$

has full row rank and applying the test 2.1, as before it is concluded that the system is functional output-controllable.

Notice that if  $D = 0$  but matrices  $C$  and  $CB$  have full row rank the test finish in the second iteration, since the ranks of the iterative matrices differs exactly the rank of the matrix  $CB$  from second iteration.

### 6 Computing bounds for particular cases

Due to the fact that the linear system under study is derived from a non-linear system, it is important to determine the confidentiality of the linear system in comparison with the real one.

In order to be able to compute a boundary which ensures functional output-controllability characteristic of the system, some values for the symbolic parameters previously presented are used. Such parameters are defined in Appendix A

#### 6.1 Case FSWT with active and reactive power as measured outputs

If matrix  $D$  has full row rank, the system can be determined functional output-controllable. For that reason, a proper first boundary approximation can be obtained by computing the singular values of matrix  $D$ .

In order to calculate the boundary, the system is evaluated under two different operational cases: a wind turbine connected into a weak network and a wind turbine connected into a strong network. The initial conditions derived from those cases are introduced in Appendix A

**6.1.1 Weak network** ( $V_{ASC} = 16MVA$ ) In this particular case matrix  $D$  is

$$D = \begin{pmatrix} 0.527 & 0.791 & 0 \\ 0.791 & -0.527 & 0 \end{pmatrix}$$

and the smallest singular value of the matrix  $D$  is 0.9488.

It is worth to remark, that if the parameters selected for the analysis implies that the smallest singular value of matrix  $D$  is 0, the boundary must be computed using matrix  $M$ .

**6.1.2 Strong network** ( $V_{ASC} = 40MVA$ ) In this particular case matrix  $D$  is

$$D = \begin{pmatrix} 0.458 & 0.789 & 0 \\ 0.789 & -0.458 & 0 \end{pmatrix}$$

and the smallest singular value of the matrix  $D$  is 0.9201.

Analogously, it is worth to remark, that if the parameters selected for the analysis implies that the smallest singular value of matrix  $D$  is 0, the boundary must be computed using matrix  $M$ .

## 6.2 Case FSWT with stators currents of the induction generator as measured outputs

In this case  $D = 0$ , but matrices  $C$  and  $CB$  has full row rank, taking into account  $C$  is a fixed matrix, then a proper first boundary approximation can be obtained by computing the singular values of matrix  $CB$ .

In our case

$$B = \begin{pmatrix} 87.0454 & 0 & 0 \\ 0 & 87.0454 & 0 \\ 84.9079 & 0 & 0 \\ 0 & 84.9079 & 0 \\ 0 & 0 & 0.1429 \end{pmatrix}$$

Then

$$CB = \begin{pmatrix} 87.0454 & 0 & 0 \\ 0 & 87.0454 & 0 \end{pmatrix}$$

and the smallest singular value of the matrix  $CB$  is 87.0454. Then, the nearest non-functional output-controllable system  $(A + \delta A, B + \delta B, C + \delta C)$  is in such a way that  $\|\delta(C \cdot B)\| > 87.0454$ .

It is worth to remark, that if the parameters selected for the analysis implies that the smallest singular value of matrix  $CB$  is 0, the boundary must be computed using matrix  $M$ .

**6.2.1 Weak network ( $V_{ASC} = 16MVA$ )** In this particular case matrix  $A$  is

$$A = \begin{pmatrix} 1.5058 & -369.1080 & 0.4661 & 345.9649 & 46.2303 \\ 369.1080 & 1.5058 & -345.9649 & -0.4661 & -282.1571 \\ -1.0525 & 360.1678 & 0.4769 & 337.5596 & 26.1976 \\ -360.1678 & -10.5254 & -337.5596 & 0.4769 & -170.7528 \\ -0.4812 & 0.1740 & -0.4511 & -0.3007 & 0 \end{pmatrix}$$

Using Matlab it can be obtained the singular values of the matrix  $M$ , and the smallest one is 0.003.

**6.2.2 Strong network ( $V_{ASC} = 40MVA$ )** In this particular case matrix  $A$  is

$$A = \begin{pmatrix} 0.8614 & -362.5157 & 0.4661 & 345.8275 & 20.5053 \\ 362.5157 & 0.8614 & -345.8275 & 0.4661 & -143.3823 \\ -0.8399 & 345.2217 & -0.4769 & 337.4178 & 20.9843 \\ -345.2217 & -0.8399 & -337.4178 & -0.4769 & -236.5390 \\ 0.4734 & 0.1272 & -0.4511 & -0.2578 & 0 \end{pmatrix}$$

Using Matlab it can be obtained the singular values of the matrix  $M$ , and the smallest one is 0.0002.

## 7 Conclusion

This paper has presented the concept of functional output-controllability and applied to a linearized system from the nonlinear equations representing the squirrel cage induction generator. Functional output-controllability has been determined using the  $A$ ,  $B$ ,  $C$  and  $D$  matrices. Moreover, the demonstration is made with a generic system. Therefore, it can be ensured not only for an example. Due to the functional output-controllability condition, it can be concluded that any output can be reached regulating the voltage inputs.

### A System under study parameters

The parameters of the squirrel cage induction generator used for the evaluation of the boundaries are the following:  $V_b = 690V$ ,  $S_b = 2MVA$ ,  $f_b = 50Hz$ ,  $\omega_b = 2\pi f_b$ ,  $H = 3.5s$ ,  $\bar{X}_{tr} = 0.05$ ,  $\bar{R}_s = 0.00488$ ,  $\bar{X}_{ls} = 0.09241$ ,  $\bar{R}_r = 0.00549$ ,  $\bar{X}_{lr} = 0.09955$ ,  $\bar{R}_d = 0.2696$ ,  $\bar{X}_{ld} = 0.0453$ ,  $\bar{X}_m = 3.95279$  and  $\bar{X}_{rm} = 0.02$ .

$$V_{ASC} = 16MVA, X/R = 10, \bar{Z}_E = S_b/V_{ASC}, \bar{R}_E = \bar{Z}_E/(1 + (X/R)^2)^{1/2}, \bar{X}_E = \bar{R}_E(X/R).$$

#### A.1 Weak network ( $V_{ASC} = 16MVA$ )

The operating point of a weak network used for system linearization is  $\bar{i}_{ds0} = -0.527$ ,  $\bar{i}_{qs0} = 0.791$ ,  $\bar{i}_{dr0} = -0.306$ ,  $\bar{i}_{qr0} = 0.846$  and  $s_0 = -0.0055$ .

#### A.2 Strong network ( $V_{ASC} = 40MVA$ )

The operating point of a strong network used for system linearization is  $\bar{i}_{ds0} = -0.458$ ,  $\bar{i}_{qs0} = 0.798$ ,  $\bar{i}_{dr0} = -0.225$ ,  $\bar{i}_{qr0} = 0.838$  and  $s_0 = -0.0051$ .

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